

10/591075  
IAP9 Rec'd PCT/PTO 30 AUG 2006

"Express Mail" mailing label number EV 316 044 492 US

Date of Deposit: August 30, 2006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Kazuaki Matsumoto

International

Serial No. PCT/JP2005/002850

U.S. Serial No.: Applied for

Intl. Filing Date: February 23, 2005

U.S. Filing Date: Herewith

Title: METHOD FOR PRODUCTION  
THERMOPLASTIC RESIN  
COMPOSITION CONTAINING  
ULTRAFINE PARTICLES

Examiner: Not Assigned

Art Unit: Not Assigned

Attorney Docket No: 5404/168

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

**U.S. PATENT DOCUMENTS**

DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
6,358,611 B1	03/19/2002	Nagasawa et al.

**FOREIGN PATENT DOCUMENTS**

<b>DOCUMENT NUMBER Number-Kind Code (if known)</b>	<b>DATE</b>	<b>APPLICANT</b>
JP 9-255879 A	09/30/1997	Japan
JP 10-72552 A	03/17/1998	Japan

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

International Search Report from Corresponding International Application  
No. PCT/JP2005/002850, dated May 17, 2005, 4 pages.

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

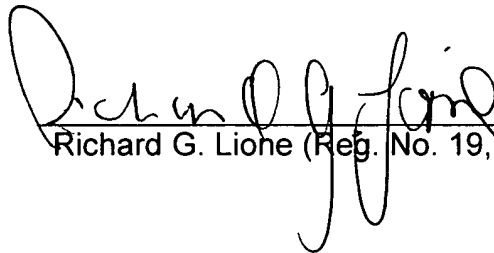
10/591 075

IAP9 Rec'd PCT/PTO 30 AUG 2006

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Aug 30, 2006  
Date

  
Richard G. Lione (Reg. No. 19,795)

**10/591 075**  
**IAP9 Rec'd PCT/PTO 30 AUG 2006**

FORM PTO-1449	INTERNATIONAL APPLICATION NO. PCT/JP2005/002850	CASE NO. 5404/168
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (use several sheets if necessary)	INTERNATIONAL FILING DATE February 23, 2005	GROUP ART UNIT Not Assigned
	FIRST NAMED INVENTOR: Kazuaki Matsumoto	
	EXAMINER NAME: Not Assigned	

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	Filing Date
	A1	6,358,611 B1	03/19/2002	Nagasawa et al.		

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number- Kind Code (if known)	Publication Date MM-DD-YYYY	COUNTRY	Class/ Subclass	Translation Yes or No
	A2	JP 9-255879 A	09/30/1997	Japan		Abstract
	A3	JP 10-72552 A	03/17/1998	Japan		Abstract

EXAMINER INITIAL	<b>NON PATENT LITERATURE DOCUMENTS</b>		T
	(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)		
	A4	International Search Report from Corresponding International Application No. PCT/JP2005/002850, dated May 17, 2005, 4 pages.	

NOTE: For "T" – please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.